# Classification of Psychotropic Drugs as Sedatives or Tranquilizers Using Pattern Recognition Techniques

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Abstract: Adaptive binary pattern classifiers have been applied to the problem of classifying psychotropic drugs as tranquilizers or sedatives based on their structures. A set of 219 tranquilizers and sedatives representing a wide variety of compound types has been coded using 69 descriptors of three types: (a) numeric and binary fragment descriptors, (b) binary substructure descriptors, and (c) topological descriptors. Only an ordinary two-dimensional structural diagram of the drug molecule is used as input to the system. When the 69 structural descriptors are used to code the set of drugs, the two classes are linearly separable and all molecules can be classified correctly. A feature selection procedure is employed to reduce the number of descriptors necessary for classification by approximately one-half with no loss of separability of the classes. When the classifiers are used to categorize unknowns of the same general molecular types, predictive abilities of approximately 90% are obtained.

The elucidation of structure-activity relationships of drugs has long been an area of concern. Various methods of correlating structural parameters with observed activity have been developed. One such method is the Hansch approach which attempts to relate a change in the level of biological activity with changes in the physical and chemical properties of a series of drug molecules.<sup>1,2</sup> This is accomplished by fitting standard or relative biological activities  $A_i$  to an equation of the form

$$\log A_{i} = -k_{1} \log^{2} P_{i} + k_{2} \log P_{i} + k_{3}$$
(1)

where  $P_i$  is an octanol-water partition coefficient which models the partition coefficient of the drug between the nonpolar biophases and the aqueous phase of the biological system, and the k's are constants determined by regression analysis.

Recently, several techniques have been applied to studies of structure-activity correlations.<sup>3-6</sup> The studies relating mass spectra of various sedatives and tranquilizers to their activities<sup>4</sup> and of structure-activity relations of anticancer drugs<sup>5</sup> have drawn some criticism in the literature.<sup>7-9</sup> However, the techniques of pattern recognition should offer a viable alternative approach to studies of structure-activity correlations when used cautiously with large data sets and appropriate numbers and types of descriptors.

The present study is concerned with the implementation of adaptive binary pattern classifiers in order to distinguish between drug molecules which exhibit activity as sedatives and tranquilizers. The classifier bases its decision entirely on information available from a standard two-dimensional structural representation of the molecule. In the present implementation no geometrical descriptors or physical property descriptors other than molecular weight are employed, although their use is not precluded by the techniques being used. The results of classifications are then further used to deduce which of the given parameters are most effective in the determination of a given activity.

**Binary Pattern Classifiers.** Implementation of adaptive binary pattern classifiers involves representing a drug structure as a vector in *n* dimensional space,  $\mathbf{X} \equiv (x_1, x_2, x_3, \ldots, x_n)$ , where each  $x_j$ ,  $j = 1, 2, \ldots, (n - 1)$ , represents some parameter related to the structure of each molecule. In order to ensure a common origin, all pattern vectors are given an extra component,  $x_n$ , the value of which is optimized during the initial stages of training.

These pattern vectors can be thought of as points in n dimensional space (hyperspace). If the descriptor list is sufficiently informative, compounds of similar activity should be found to cluster in the same relative region in space. If these clusters can be distinguished from one another by a linear decision surface or hyperplane, they are said to be linearly separable. In practice, discrimination between classes is made by calculating the dot product of a data vector with a vector (called the weight vector, **W**) normal to the surface of the plane,  $s = \mathbf{W} \cdot \mathbf{X}_i$ . All vectors on one side of the plane will have a positive dot product; all those on the opposite side a negative dot product. The classification rule is then as follows: If s > 0, then classify  $\mathbf{X}_i$  in category one; if  $s \le 0$ , then classify  $\mathbf{X}_i$  in category two. If a nonzero threshold, or deadzone, Z, is employed, the classification rule is as follows. If s > Z, then classify  $\mathbf{X}_i$  in category one; if s < -Z, then classify  $\mathbf{X}_i$  in category two; if -Z < s < Z, then do not classify  $\mathbf{X}_i$ .

Given a set of points in the n dimensional space, a useful decision surface is found by an operation called training. To train a decision surface, a set of data points of known class called the training set is used. They are presented sequentially to the binary pattern classifier being developed. Whenever any member of the training set is misclassified, the weight vector is modified as follows

$$\mathbf{W}' = \mathbf{W} + c\mathbf{X}_{i} \tag{2}$$

in which

$$c = -2(\mathbf{W} \cdot \mathbf{X}_i) / (\mathbf{X}_i \cdot \mathbf{X}_i)$$
(3)

and  $X_i$  is the pattern which was just misclassified, W is the old weight vector, and W' is the new improved weight vector. This corresponds to moving the decision surface so that the misclassified point is on the opposite side of the decision plane, thereby causing the point to be correctly classified. The procedure is continued until all points are correctly classified. A decision surface can also be trained in a manner such that the points not only lie on the correct side of the plane but must be a given distance on either side. This corresponds to giving the decision plane thickness and requiring that pattern points not only be on the correct side but also outside the volume occupied by the decision surface. Adaptive binary pattern classifiers have been de-scribed in detail elsewhere.<sup>10-13</sup> The adaptive binary pattern classifiers used in this work are coded in FORTRAN IV and executed on the Penn State Computation Center IBM 370/168 and the Department of Chemistry MODCOMP II/25.

The Data Set. The set of drugs used in this study consisted of 219 compounds selected from a standard reference.<sup>14</sup> The set contains the 140 tranquilizers and 79 sedatives list-

### Table I. List of Compounds in Data Set

·	TRANQUI	LIZERS	ACEPROMAZINE ACETOPHENAZINE ACETOPHENAZINE BUTYRYLPROMAZINE CB 1519 CHLORIMIPIPHENINL CHLORPROMAZINE CIRA 17040 CYAMEPROMAZINE DICHLORPROMAZINE FTHYLISOBUTRAZINE FLUPHENAZINE (2) FLUPHENAZINE (2) FLUPHENAZINE (3) HOMOPHENAZINE ME SONIDAZINE ME SONIDAZINE ME THOPHENAZINE PERPHENAZINE PERPHENAZINE PERPHENAZINE PIPERIDOCHLOR- (4) PROMETAZINE R.P. 4627 R.P. 9153 SAF 5657 T 412 THICTHYLPERAZINE THICPROPERIZINE THIOPROPERIZINE THIOPROPERIZINE THIOPROPERIZINE THIOPROPERIZINE TRIFLUTRIMEPRAZINE CHLORPROHEPTADIENE CLOPENTHIXOL CLOPHIXAMIDE DESMETHYL-DOXEPINE FLUPENTHEN XANTHIOL RESERPEDINE RAUJEMIDINE SU5171 SU10704 RENANSERIN DIM IN 399		SEDATIVES		
	.194	, ,		1	PROFENAMINE	2	PROMETHAZINE
3	ACEPROMETAZINE	4	ACETOPHENAZINE	3	CLOXYPENDYL	4	FENCHARMAN
5	BUTAPERAZINE	b	BUTYRYLPROMAZINE	5	CANNABIOEROL	6	D-58S1
7	CARPHENAZINE	8	C8 1519	7	LORAZEPAM	8	ALLOBARBITAL
9	CB 1658	10	CHLORIMIPIPHENINE	9	ALPHENAL	10	AMOBARBITAL
11	CHLORPROETHAZINE	12	CIRA 17040	17		14	DITETMAL
15	CHEORPROMAZINE (I)	17	CYAMERROMAZINE	15	BUTALLYLONAL	16	
17	CYCLOPHENAZINE	16	DICHLORPROMAZINE	17	CYCLOPAL	18	FEBARBAMATE
19	LIXYRAZINE	20	ETHYLISOBUTRAZINE	19	HEPTABARBITAL	20	HEXETHAL
21	FLUOROPHENOTHIAZINE	22	FLUPHENAZINE (2)	21	HEXOBARBITAL	2 <b>2</b>	MEPHOBARBITAL
23	FLUPHENAZINE	24	FLUPHENAZINE (3)	23	METHABARBITAL	24	METHITURAL
25	HEPLTYLPROMAZINE	26	HOMOPHENAZINE	25	METHOHEXITAL	26	
27		20 30	MESORIDAZINE	29		20	SECOBARBITAL
31	METHIOMEPRAZINE	32	METHOPHENAZINE	31	TALBUTAL	32	THIAMYLAL
33	METHOTRIMEPRAZIN	34	METHOXYPROMAZINE	33	THIOPENTAL	34	VASALGIN
35	OXAFLUMAZINE	36	P 824	35	NSD 2023	36	ANILERIDINE
37	F 1030	38	PERAZINE	37	CATAPRESAN	38	CH <b>I 2</b> 1
39	PERIMETAZINE	40	PERPHENAZINE	39	CHI 34	40	CHI 38
41	PERPHENAZINE (1)	42	PHENAZIN	41	CHI 42	42	CLOMETHIAZOLE
43	PHENAZINE	44		40 45		44	GLUTETHIMIDE
40 47	PIPERACIAZINE PROCHLÓRPERAZINE	40	PROMETAZINE	47	HOMOCHLORCYCLIZINE	48	K-2004
49	PROMAZINE	50	PROPIOMAZINE	49	LB 50160	50	MECLOQUALONE
51	PROPIOPROMAZINE	52	RIDAZINE	51	METHAQUALONE	52	METHYPRYLONE
53	RP 3300	54	R.P. 4627	53	OXYPENAYL	54	TETRIDIN
55	R.P. 6696	56	R.P. 9153	55	THALIDOMIDE	56	ETHOMOXANE
57	SA 124	58	SAF 5657	57		58	WB 4123
59	SKE 6333	60 62	I 412 Thtethyi deda7tme	57	RICE AMIDE	62	CD 6030
61	THIOPROPAZINE	64	THIOPROPERIZINE	63	CHLORAL HYDRATE	64	DISPRANOL
65	THIORIDAZIDE	66	TPN 12	65	ETHINAMATE	66	MEBUTAMATE
67	TRIFLUOPERAZINE	68	TRIFLUOPERAZINE	67	MEPROBAMATE	68	NISUBAMATE
69	TRIFLUPROMAZINE	70	TRIFLUTRIMEPRAZINE	69	ETHCHLORVYNOL	70	METHYLPENTYNOL
71	TPIMEPRAZINE	72	VALEROYL-PERAZINE	71	PETRICHLORAL	72	ACETYLCARBOROMAL
73	WIN 13+645=5	74	CHLORPROHEPTADIENE	73	ALC	74	
75		75		() 77	TPC	78	
79		, U 8 U	DESMETHYL -DOXEDINE	79	CHLORETHATE	10	TREMOCIANTEL
81	DOXEPIN	82	FLUPENTHIXOL				
83	G 22150	84	ID 22				
85	LUXAPINE	80	TRIFLUTHEPIN				
87	TRIMEPRAMINE	88	XANTHIOL				
- 69	BISHOMORESERPINE	90					
91	PATRICT DE LORE	94	RENOVIDINE		(1) SULFOXIDE	(2) E	ECANOATE
95	RESCINNAMINE	96	SU5171				
97	8642	98	SU10704		(3) ENANTHATE	(4) -	PROMAZINE
99	RAUBASINE	100	BENANSERIN DIM		(0) ERAMINATE	(1) -	* 1101818 111m
101	BENZINDOPYRINE	102	DIM IN 399 OXYPERTINE				
	3-IAAR	104	IN 399				
		105	OXYPERTINE Solpyertine				
	PI 11 Dimechrom		BROMAZEPAM				
	CHLORAZEPATE		CHLORDIAZEPOXIDE				
	CLOAZEPAM	114	CLOXAZOLAZEPAM				
	CT 5104		CYPRAZEPAM				
_	DIAZEPAM		ISOQUINAZEPON				
	NITRAZEPAM OXAZEPAM	_	NITRAZEPATE OXAZOLAM				
	PRAZEPAM		R05-2180				
	KO-53027		SULAZEPAM				
	TEMAZEPAM	130	TETRAZEPAM				
-	ACEPERONE		AHR 1900				
_	FR-33		DIPHENCHLOXAZINE				
_	MISAFLUR		PROTHIPENDYL Captodiame				
-	TRIOXAZINE Phenyltoloxamine		CINTRLAMIDE				
107		140					

ed in Table I. A number of different parent ring types are represented, including phenothiazines, indoles, benzodiazepines, barbiturates, heterocyclic butyrophenones, nitrogen heterocycles, nonnitrogen heterocycles, and diphenylmethane derivatives. All the compound types represented and the number of each type are given in Table II.

Many medicinal chemists disagree on the precise classification of a large segment of the psychotropic agents and therefore the classifications of sedative and tranquilizer are not exacting. Many drugs show activities in both of these classes as well as in others, *e.g.*, hypotensive, muscle relaxant, etc. Generally tranquilizers are classified as being either major or minor tranquilizers, while many sedatives show hypnotic action. The method used in this paper to classify the compounds' major action as sedative or tranquilizer is based upon the information in the reference used (see ref 14). In this reference compounds were classified as either major tranquilizers (TMa), minor tranquilizers (TMi), tranquilizers (T), sedatives (Sed), hypnotics (Hyp), or sedative-hypnotics (Hyp-Sed). The classification rules

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Table II. Compound Classes Represented in Data Set

Compound type	No. of tran- quilizers	No. of sedatives
Phenothiazines	73	2
Phenothiazine analogs and isomers	15	1
Indoles		
Reserptine and derivatives	10	0
Haramine and derivatives	1	1
Others	9	0
Cannabis derivatives	0	1
Other heterocycles		
Chromone derivatives	1	0
Benzodiazepines	21	2
Barbiturates	0	27
Heterocyclic butyrophenones	3	1
Other N heterocycles	4	20
Benzodioxone derivatives	0	1
Non-N heterocycles	0	2
Aromatic compounds		
Diphenylmethane derivatives	2	0
Benzoic acid derivatives	0	1
Other	1	3
Aliphatic compounds		
Glycols	0	2
Carbamates	0	4
Carbinols ·	0	3
Amides and hydrazines	0	4 3 7
Others	0	1
	140	79

were generally applied as follows: (1) if the compound was TMa, TMi, or T, then classify as tranquilizer; (2) if the compound was Hyp, Sed, or Hyp-Sed, then classify as sedative; (3) if the compound was a combination of activities such as (T Sed), (T Hyp), (TMi Sed), classify as a tranquilizer; (4) if in any of the multiple classifications given there was a preponderance of one class over the other, the compound was classified as belonging to the major class.

In no case was the classification changed in order that a more favorable result with respect to recognition rate be effected. The ability of the pattern recognition approach to deal with such a heterogeneous data set may be one of the strengths of the technique.

**Descriptor Development.** The success of the application of binary pattern classifiers to structure-activity correlations will depend upon the method used in describing the molecular structures. In this study three types of descriptors were employed: binary and numeric fragment descriptors, binary substructure descriptors, and topological descriptors. A list of the 69 descriptors is given in Table III. Each descriptor is contained in a minimum of 10% of the drug structures, and in no case does any one descriptor contain enough information to successfully classify the compounds.

Binary and numeric fragment descriptors have been used previously in studies of the interpretation of mass spectra.<sup>15,16</sup> A binary descriptor is simply an indication of the presence or absence of a certain structural parameter. Numeric descriptors indicate the number of times a descriptor appears in a molecule. Substructure descriptors, which are binary, tell whether or not a particular, explicitly described substructure is embedded in the molecular structure. Additional information concerning these types of descriptors can be found in ref 13.

While the nature of most of the descriptors is evident, some of them require further explanation. Descriptor 15, total weighted bond length, is calculated by summing four for each single bond, three for each phenyl bond, two for each double bond, and one for each triple bond in the molecule and dividing by two. (Note that these are in the same sequence as the lengths of these bond types.) Descriptor 18 is a binary descriptor which indicates whether an aromatic Table III. Descriptor List<sup>a</sup>

	Molecular weight		Q Q
2.	Number of nonring	28.	
	carbon		
3.	Number of nonring		
4	oxygen	29.	——————————————————————————————————————
4.	- 0		Q
5.	nitrogen Number of nonring	30.	-CN <
υ.	sulfur	<b>J</b> 0,	
6.		31.	$X - O - \dot{C} - X, X \neq H$
	Number of chlorine		
	Number of oxygen	32	$X - O - C - X, X \neq H$
9,		02.	
	Number of sulfur		
	Number of carbon	33.	$X - C - C - OH  X \neq H$
	Number of C=C	34	-OH
13.	Number of C-C		-011 >C=C<
14.	Number of phenyl	00.	
	bonds		Ŭ
15.	Total weighted	36.	>N-C-N<
	bond length	27	CH <sub>3</sub>
16.	、 _ ,	51.	CH <sub>3</sub>
17.	C(==0)		
18.	Aromatic ring C-	38.	
		20	
19.	>N	39. 40	$\frac{\text{Ring} > \text{NCH}_3}{CCb}$
<b>2</b> 0.	$\langle \rangle$	41	
		42	—C—C—C— —C—C— <sup>c</sup>
21.	$\square$	43.	_c_c_
21.			$\bigcirc$ N any substitution
			<u></u>
22.	- <u>(</u> )	45.	Longest chain of
23.	-x x-	40	nonaromatic carbon
	$\smile$	46.	Terminal $X - CH_3$ where $X \neq a$ carbon chain
24.	Ring >S		
20, 26	Ring >N Ring >NCH <sub>2</sub> —	47.	$Y - \stackrel{!}{C} - \stackrel{!}{C} - X, X \neq H;$
	Ring $> 0$ Ring $> 0$		-C - Y = H  or  C
<i></i>			
	2	cal D	escriptors <sup>d</sup>
48.	Aromatic ring C-	60.	
50	NUL //		·

- 50.  $NH_2$  60.  $-CH_2$  

   50.  $NH_2$  62. -NH 

   52. -C 64. -O 

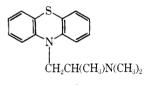
   54. >N 66. Aromatic ring

   56. >CH 68. -C(-O)
- 58. CH<sub>3</sub>-

<sup>a</sup> Descriptor numbers 1–15, 45, and 46 are numeric descriptors; the others through 47 are binary. <sup>b</sup> Value is 1 if CH<sub>3</sub>CC is present, 2 if -CCC- is present, and 3 if both are present. <sup>c</sup> Value is 1 is CH<sub>3</sub>C is present, 2 if -CC- is present, and 3 if both are present. <sup>d</sup> The topological descriptors were developed in pairs—bond and weighted—for the fragments shown.

ring is branched. Descriptors 20, 21, 22, and 38 are binary descriptors which indicate whether or not these explicitly described substructures are present. Descriptor 48 differs from 18 in that 48 is a topological descriptor. Descriptor 41 differs from 40 and 43 from 42 in that 41 and 43 are binary substructure descriptors and the others are coded as shown and are numeric descriptors.

Along with information provided by fragment and substructure descriptors, information as to the molecular environment of various atoms within the molecule is of interest. For instance, carbonyl carbons within aliphatic chains should be differentiated from carbonyl type carbons involved in such groups as acids, amides, or esters. A measure of this type of environment is provided by topological descriptors. Computerized generation of these parameters is facilitated by representing the structures of the molecules in the form of connection tables whereby each atom in the molecule is sequentially numbered and a matrix is then developed using the following criteria: (1) rows of the matrix indicate the numbered atoms; (2) columns indicate the possible atoms connected to this atom; (3) whenever an atom of row I is bonded to an atom in column J, the type of bond is entered into the (I,J)th position of the matrix (e.g., single = 1, double = 2, triple = 3, phenyl = 4); (4) the main diagonal holds a numerical representation of the type of atom in the Nth position of the structure (e.g., C = 1, O = 2, N =3, S = 4, Cl = 5, F = 6, Br = 7). Each topological descriptor's value is calculated by finding the atom center of interest and determining from the connection table the number of atoms connected to its first nearest neighbors and nearest neighbors of the first nearest neighbors. Thus, the topological descriptor holds the sum total of all the connectivities of the atom of interest. The contributions to the sum can be weighted by a constant dependent on bond type (e.g., single= 1, double = 2, etc.). The former are called bond environment descriptors (BED) and the latter weighted environment descriptors (WED). As an example, the values for some of the BED descriptors for the sedative promethazine (a) are as follows: (48) 72; (54) 29; and (58) 22.



Thus, the raw data set consists of 219 drug structures each coded with 69 descriptors. Preprocessing of the raw data prior to training consisted of normalizing, autoscaling, and variance weighting. Normalizing consisted of multiplying each component of the data set by a factor such that the average value of all nonzero components was equal to 20. Then each descriptor was truncated to an integer value. This process yielded a normalized data set called the NDATA set. Secondly, the normalized data were subjected to autoscaling and variance weighting,12 thus giving a normalized, autoscaled, variance weighted data set called the NAVDATA set. After autoscaling the normalized data were multiplied by a factor of 20 and truncated. The normalized and autoscaled data were variance weighted and multiplied by a scaling factor of 500 before truncation. A value of 20 was used for  $x_n$  because it provided fast training and high predictive ability.

The correlation coefficients  $\rho(x_k, x_l)$  between each pair of descriptors was calculated for the  $(69^2 - 69)/2 = 2346$ pairs. Of these, 24 (1%) had  $\rho$  values greater than 0.90, of which 11 were correlations between adjacent BED and WED topological descriptors. A total of 756 (32%) had  $\rho$ values in the range  $-0.1 < \rho < 0.1$ . The average  $\rho$  value for all 2346 pairs was 0.07.

## Results

Training consisted of choosing 20 random sets of 209 compounds each from the total data set of 219 compounds using a random selection routine. This resulted in 20 sets of 209 knowns and ten unknowns each. These sets were then used to train two binary pattern classifiers: one to make the classification tranquilizers vs. nontranquilizers, the other to

make the classification sedatives vs. nonsedatives. Having two BPC's allows the inclusion in the data set of molecules which are neither sedatives nor tranquilizers or that have been reported as showing both activities. After training of an individual BPC on 209 compounds, the ten unknowns were predicted. The overall predictive ability was taken to be the average percentage of all 200 unknowns which were correctly classified after all 20 trainings.

Since the BPC develops a decision surface in order to separate the classes, the predictive ability of the classifier is dependent to a large extent upon the number of members of the two classes in the training set which are nearest to the opposite class. A measure of the ability of the classifier to predict a compound having a rather small perturbation in properties from others in the data set is most accurately measured by sequentially removing one member from the data set, training, and predicting using the removed member as an unknown. This is known as the leave out one procedure. The rationalization for leaving ten out was to provide a measure closely related to that of the leave one out procedure, while reducing the amount of computer time necessary to obtain such a measurement. The average percentage predictive ability is then an approximate measurement of the success with which a BPC developed from all 219 compounds would have in correctly classifying an unknown not contained in the original data set. This ability would depend upon the extent to which the data set is representative of that compound. As in any learning process the smaller the perturbation from previous experience the more likely the chance for a successful classification.

The entire procedure was repeated a number of times using different threshold values. Table IV summarizes the results of these studies for the tranquilizer vs. nontranquilizer pattern classifier. It is seen that increasing the threshold causes an increase in predictive ability. The NDATA generally yielded better prediction than the NAVDATA; however, the NAVDATA normally required fewer feedbacks during training. In every case reported in Table IV training was performed with the threshold value, Z. shown and prediction was performed in two ways—with a zero threshold and with the same threshold as used during training. The two predictive ability results are reported separately.

Generally it would not be thought that all of the descriptors were of equal value in the training of the classifiers. In order to determine which of the 69 descriptors were of the greatest importance, weight-sign feature selection<sup>17</sup> was employed.

Each of the 20 randomly selected training sets was used for training using a threshold of 1.75, and the remaining ten drugs were predicted. The average of all 20 predictions were taken as a measure of the predictive ability prior to feature selection. Then the entire data set was used for training two weight vectors using one of the initializations listed in Table V and with the sequence of the compounds randomly scrambled. Any descriptor whose weight vector component sign did not agree for the two weight vectors

Table IV. Results of Training and Prediction

	-NDATA-		NAVDATA			
Thresh- old	$ightharpoonup_{0}^{\infty}$ pre- diction, Z = 0	% pre- diction. Z > 0	Thresh- old	$\int_{0}^{\infty} pre-$ diction. Z = 0	% pre- diction. Z > 0	
0	89.5		0	86.0		
0.5	90.5	92.0	0.5	87.5	90.0	
			1.0	89.0	90.0	
			1.5	87.8	90.5	
			2.0	88.3	<b>9</b> 0.0	

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Table V.	List of	Weight	Vector	Initializations
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1. $w_j = 0, j = 1, 2,, (n-1); w_n = 1$	
2. $w_j = 0, j = 1, 2, \ldots, (n-1); w_n = -1$	
3. $w_j = 1, j = 1, 2, \ldots, (n-1); w_n = 1$	
4. $w_j = 1, j = 1, 2,, (n-1); w_n = -1$	
5. $w_i = 1; w_j = 0, j = 2, 3, \ldots, n$	
6. $w_i = -1; w_j = 0, j = 1, 2, \ldots, n$	
7. $w_j = -1, j = 1, 2,, (n-1); w_n = 1$	

Table VI. Results for Feature Selection<sup>a</sup>

	69 % pre- diction	descripto No. not pre- dicted	Av feed- backs	——A % pre- diction	fter featu No. not pre- dicted	Av feed- backs	tion Descrip- tors re- maining
1.	85.08	5	386	88.94	2	340	40
2.	89.44	2	491	92.00	1	331	40
3.	86.00	1	266	90.00	0	274	38
4.	86.00	0	257	87.00	0	304	44
5.	85.89	1	300	91.44	1	187	35
6.	88.50	0	289	90.00	0	262	40
7.	86.00	0	280	87.50	0	223	34

<sup>a</sup> Training and prediction were done with Z = 1.75.

was discarded. The process was then repeated until no further features could be eliminated. Then the predictive ability was tested using the same procedure as used before feature selection. Results obtained for the seven independent implementations of the weight-sign feature selection procedure for the tranquilizer classification are shown in Table VI. The columns labeled "number not predicted" give the total number of unknowns for all 20 trainings which were not classified because their dot products fell inside the dead zone, *i.e.*, -Z < s < Z. It is seen that in every case the predictive ability was higher after the unnecessary descriptors had been discarded. Also note that the total number of compounds not predicted was never greater for the feature selected data than the nonfeature selected data and was, in fact, generally smaller for the former.

Since none of the original 69 descriptors alone has the ability to correctly classify all the data, some combinations of features must be used to attain separation.

The results of the feature selection procedure can be used to group the descriptors as to relative importance in performing the classification. Table VII lists the descriptors as a function of the fraction of the time they were retained during the seven trainings. Eleven descriptors (16%) were retained every time, while only four descriptors (6%) were eliminated in all the runs. The remaining 54 descriptors (78%) were intermediate in importance.

Generally, the exact sequence in which descriptors are eliminated and, therefore, the identity of the remaining features depend on how the weight-sign feature selection procedure is implemented. For each of the seven weight vector initializations, a different set of features was eliminated, and different average predictive abilities were obtained, as

Descriptors retained
9, 15, 22, 26, 37, 39, 42, 45, 48, 49, 61
1, 5, 6, 10, 24, 28, 31, 35, 52, 57, 58, 60
7, 11, 13, 20, 32, 47, 54, 59
2, 12, 14, 18, 21, 25, 34
23, 29, 31, 33, 44, 46, 51, 53, 56
3, 8, 16, 27, 30, 38, 50, 55, 65
4, 19, 34, 40, 41, 43, 63, 66, 67
17. 62, 68, 69

shown in Table VI. In all cases the data remained linearly separable. This behavior is possible because there exists more than one unique set of descriptors which afford linear separability. Further feature selection could be done by eliminating descriptors retained infrequently and redoing the entire weight-sign procedure on the new data set.

## Discussion

The data set used here represents a fairly diverse set of compounds, not all of which have their mode of action in the same area of the biosystem. It is rather unlikely that the exact mechanism of these compounds' actions is all intrinsically related, although all are CNS agents. However, many other factors come into play in the relation of structure to function. Solubility and those parameters that affect solubility, geometric consideration, electronic parameters, etc., also affect the observed activity. Given the sum total of all this, similarities may exist which in general distinguish one class of compounds from the other.

The development of relevant descriptors and an efficient means of feature selection is then the crux of this problem. As shown in Table VI any single feature selection was able to reduce the features required for separation by at least 40% while maintaining or increasing the predictive ability as well as further reducing the number of compounds which were not classified.

The possibility of those compounds which were not put into either class, being those compounds whose activity is in question, was not investigated. The present data set is not sufficiently feature selected for such a study to be meaningful since those descriptors that are not necessary for separation, while not influencing the ultimate ability to separate the classes, do influence the outcome of the prediction procedure. This can be evidenced from the fact that the number of compounds not predicted decreased as the number of unnecessary descriptors decreased. Future studies will investigate this question. The ultimate aim of this set of experiments was to demonstrate the potential usefulness of pattern recognition in this and other areas of activity correlations.

Preliminary studies showed that descriptors such as the number of nitrogens, total bond length, and various fragment and topological descriptors ranked high in their importance for discrimination as applied to this data set. This does not necessarily indicate that the properties of sedation and tranquilization are related by a cause and effect relationship to these parameters, but only that these parameters have a high degree of usefulness in the mathematical discrimination of these properties as they pertain to this data set. Also, generalizations based upon the preliminary feature selection attempted would be unwarranted as it is incomplete. The present data set does not contain any compounds which could be considered as inactive for both classes, although families were included that showed activities in both classes, e.g., the benzodiazepine derivatives. The problem of what types of inactive compounds to include so as to create a meaningful data set is not trivial. At present only those compounds which are analogs of the present compounds or are CNS agents are under consideration for inclusion in the data set. Besides expansion, further improvement of the data set could be accomplished by addition of other types of topological or environment descriptors, addition of parameters obtained from computerized modeling of the structure, and more sophisticated methods of feature selection. Also, studies dealing with various homologous series within the data set are being investigated.

In conclusion, it is felt that these results indicate that further studies should be made in this area and that pattern

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## Matrix Reactions of Alkali Metal Atoms with Tetrahalomethanes. Evidence for a Novel Carbenoid

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Abstract: Reaction of alkali metal atoms with carbon tetrachloride at high dilution in argon deposited at 15°K produced, in addition to trichloromethyl radical, dichlorocarbene, and the carbenoid Cl<sub>3</sub>CM, a new carbenoid species identified as a dichlorocarbene-metal halide complex. Infrared examination of the matrix-isolated complex indicated a nonrandom orientation of the two components. Analysis of the effect of the metal atom, the carbon isotope, and the halide ion dependence of the spectrum allowed the determination that the new carbenoid involves interaction of the metal ion and the lone pair of the carbene carbon, *i.e.*,  $X^- \cdots M^+ \cdots CCl_2$ .

The application of  $\alpha$ -elimination routes to the generation of carbenes1 in solution remains a favored method in spite of ambiguities encountered in interpreting the results of trapping experiments. These ambiguities arise from similarities in reactivity between free divalent carbon species (carbenes) and, for example, the  $\alpha$ -haloorganometallics generated by metalation of an alkyl halide.<sup>2</sup> Species of this latter type have been included in a class of reactive intermediates termed carbenoids<sup>3</sup> which include not only the structurally well-defined  $\alpha$ -haloorganometallic reagents just mentioned but also less well-defined carbene-alkali halide complexes of various (usually unstated) degrees of aggregation as well as complexes between  $\alpha$ -haloorganometallics and alkali halides. Considerable progress has been made in understanding a carbene-carbenoid relationship in the case of the dichlorocarbene-trichloromethyllithium equilibrium (eq 1).

$$Cl_3CLi \rightleftharpoons CCl_2 + LiCl$$
 (1)

The position of equilibrium lies heavily to the side of trichloromethyllithium at  $-100^{\circ}$ .<sup>4</sup> Reaction with olefins, however, to afford 1,1-dichlorocyclopropanes appears to involve only  $CCl_2$  and not  $Cl_3CLi$  since the pattern of reactivity vs. olefin structure is identical with that observed using free CCl<sub>2</sub> generated in the gas phase.<sup>5</sup> While it may be convenient to envision a continuum of complexes between free dichlorocarbene plus lithium chloride at one extreme and trichloromethyllithium at the other, it is much more instructive to probe for those arrangements which correspond to energy minima.

Infrared spectroscopy in association with matrix isolation techniques has proved to be valuable in studies of structure and bonding of the dihalocarbenes and trihalomethyllithiums generated by the reaction of tetrahalomethanes with alkali metal atoms in solid argon (eq 2-4).6

> $Li + CCl_4 \longrightarrow LiCl + CCl_3$ (2)

$$2Li + CCl_4 \longrightarrow 2LiCl + CCl_2$$
(3)

$$2Li + CCl_4 \longrightarrow LiCl + LiCCl_3 \qquad (4)$$

Matrix-isolated CCl<sub>2</sub> generated according to eq 3 is readily identified by comparing vibrational frequencies with CCl<sub>2</sub> generated by photochemical routes<sup>7</sup> and by thermolysis of phenyltrichloromethylmercury.<sup>8</sup> Association of lithium chloride molecules with  $CCl_2$  or with  $LiCCl_3$  in the same matrix site is also possible but has so far not been systematically studied. It is of chemical interest to examine the infrared spectra of dichlorocarbene-alkali halide "complexes" to determine: (a) the extent of interaction of the two species when trapped together; and (b) whether the perturbation of CCl<sub>2</sub> vibrations by alkali halides corresponds to a unique and determinable orientation of the two species.

### **Experimental Section**

Matrix reactions of CCl<sub>4</sub>, <sup>13</sup>CCl<sub>4</sub>, CCl<sub>3</sub>Br, and CCl<sub>2</sub>Br<sub>2</sub> with Li, Na, K, or Cs atoms were performed using experimental techniques described previously.<sup>9</sup> Matrix samples ( $Ar/CCl_4 = 100:1$  to 400:1) were codeposited with alkali metal vapor beams on a CsI substrate maintained at 15°K. Infrared spectra were recorded during and after sample deposition on a Beckman IR-12 spectrophotometer. Wave-number accuracy is  $\pm 1$  cm<sup>-1</sup>.

#### **Results and Discussion**

Pertinent portions of the infrared spectra obtained on reaction of CCl<sub>4</sub> with <sup>6</sup>Li, Na, K, and Cs atoms in solid